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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:

Applicant: BRYANT, Joseph L., et al.

Application No.: 10/645,451

Date Filed: August 21, 2003

Title: HIV AND CD4 TRANSGENIC
ANIMALS AND USES
THEREOF

Docket No.: 4115-150 CIP
DIV

Examiner: Not Assigned

Art Unit: Unknown

Customer No.:

23448

FIRST CLASS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Mail Stop DD, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, and First Class Mailed under the provisions of 37 CFR 1.8.

Katrina Holland

Katrina Holland

November 19, 2003

Date of Mailing

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD
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Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449.


Applicants submitted an Information Disclosure Statement on July 2, 2001 in the parent application U.S. Patent Application No. 09/658,256 filed on October 10, 2000. Copies of all references recited on the enclosed PTO Form 1449 have been previously submitted to the United States Patent and Trademark Office.

Applicants request that copies of the previously submitted references be transferred to the file folder of the present application.

Further, applicants have cited four new references that were cited in the parent application U.S. patent application No. 09/658,256 by Examiner Shukla in the February 28, 2002 Office Action, and copies of those references are enclosed (namely, references AC, AI, AJ and AK).

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. _____ in the amount of \$_____ in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. _____ in the amount of \$180.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. _____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Marianne Fuierer", is written over a horizontal line.

Marianne Fuierer

Reg. No. 39,983

Attorney for Applicants

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TECHNOLOGY LAW
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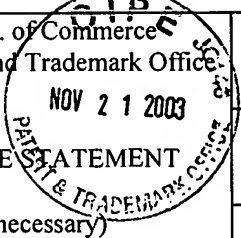
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| FORM PTO-1449 | | US Dept. of Commerce Patent and Trademark Office <div style="border: 1px solid black; border-radius: 50%; padding: 5px; display: inline-block;"> RECEIVED NOV 21 2003 PATENT & TRADEMARK OFFICE </div> | | ATTORNEY DOCKET NO. 4115-150 CIP DIV | | SERIAL NO. 10/645,451 | | |
| INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | | | | APPLICANT BRYANT, Joseph L., et al. | | | | |
| | | | | FILING DATE August 21, 2003 | | GROUP Unknown | | |
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* All the foreign patents and publications that are not written in English language are accompanied by their respective English abstracts, which constitute concise explanation of relevance of the non-English patents and publications that satisfy the requirements of 37 C.F.R. §1.98(a)(3)(i), according to MPEP 609 III A(3).

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| FORM PTO-1449 | | US Dept. of Commerce Patent and Trademark Office NOV 21 2003 | | ATTORNEY DOCKET NO. 4115-150 CIP DIV | | SERIAL NO. 10/645,451 | |
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